



MEMBERSHIP TERMS

General Terms

By accessing this website, you agree to be legally bound by the following terms. If you do not agree to be legally bound by all of the following terms then please do not access and/or use this website.

These terms shall be governed by the law of England and Wales and the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of, or in connection with, these terms or their subject matter.

SwissDAQ is NOT authorised or regulated by the Financial Conduct Authority in the provision of financial advice.

This website is solely for the provision of information and does not constitute an offer to buy or sell any stock, share or any other product mentioned herein.

No advice is given or should be inferred.

This website serves only to help clients to make their own investment decisions. Accordingly, it may not be suitable for all users and does not constitute a personal recommendation.

The value of investments and the income from them can fall as well as rise and investors may get back less than they originally invested. In the case of higher volatility investments, these may be subject to large or sudden falls in value.

Prices cannot be guaranteed.

You agree that you understand that SwissDAQ is an information website, and is not a regulated stock market, or even a market at all.

You understand that no actual share trading occurs on the SwissDAQ platform itself.

You understand that all SwissDAQ does is allow investors to indicate an intention to find a match in various private companies, and assist them in executing a private transaction thereafter.

Any individual who is in any doubt about any proposed investment or transaction should consult an authorised person specialising in advising on investments of the kind in question.

Membership - Disclaimer.

All transactions in unlisted securities will be carried out as Private Transactions between buyer(s) and seller(s). No advice will be given as to the suitability of the investment for you. If you have any doubt as to the suitability of this service, you should consult an authorised financial adviser.

Unlisted securities are not traded on a Regulated Market or a Recognised Investment Exchange. The shares are classified as Non-readily Realisable Investment. Transactions in these shares may be infrequent, the price may fluctuate significantly, there is no standard market price and shares may be illiquid, therefore difficult to buy or sell.

There may be a delay between SwissDAQ receiving your intention to match and the completion of the arrangement of the trade. This is due to the requirement to communicate with both buyer and seller.

When a match has been made, successful completion is subject to satisfactory settlement of the trade. Whilst every effort is made to complete the matching arrangement, SwissDAQ shall not be responsible nor liable if either buyer or seller fails to complete the transaction.

IMPORTANT - SwissDAQ reserves the right to terminate Membership if, after they have confirmed their agreement to trade with another member, members fail to complete the trade for any reason.

Sellers must return the share transfer form correctly completed. If we also require a share certificate you will be informed.

Buyers must pay the purchase amount along with Stamp Duty if appropriate.

Both Buyer and Seller are also required to pay the SwissDAQ Arrangement Fee before the trade can complete.

SwissDAQ and GCEN

Immediately upon registering as a Member I understand that I will automatically and immediately be deemed to have instructed Global Currency Exchange Network Limited (a company incorporated in England and Wales under company number 04675786, the registered office of which is at The Old Barn, Oast Business Park, Redhill, Watlington, Kent ME18 5NN and which is authorised and regulated by the Financial Conduct Authority under the Payment Services Regulations with registration number 504346) (“GCEN”) to open an account in my name (my “GCEN Account”) and to have agreed to and accepted GCEN’s standard terms and conditions (a copy of which are available at the following website:

<https://gcen.co.uk/gcen-terms-conditions/>)

I understand that accounts with GCEN may be used by buyers to pay sellers for shares and by sellers to receive payments from buyers. I understand that accounts with GCEN may be used to pay relevant taxes/duties and fees associated with the purchase and/or sale of shares from other SwissDAQ members. I understand that I will execute my own trades by authorising such transfers. I understand and agree that I will trade with other members using the SwissDAQ online system by authorising such transfers. I hereby irrevocably authorise SwissDAQ to give instructions to GCEN regarding my GCEN Account (including, but not limited to, any instructions relating to any payment to be made to a seller of any shares or any other person and any payments to be made in respect of any tax/duties or fees associated with the purchase and/or sale of any shares by any person) and I hereby irrevocably authorise GCEN to rely on any such instructions without making any enquiry as to whether any such instructions are accurate or duly given (any GCEN and its officers, employees and agents shall be entitled to rely on and enforce this paragraph of these terms.

Charges

An arrangement fee of 2% of the consideration of each transfer, subject to a minimum fee of £49.00 will be payable for each transfer. Purchases above £1,000 may be subject to 0.5% Stamp Duty. These charges and taxes may change and you will be notified of any changes before you confirm your intention to match.

If you decide to use SwissDAQ to conduct this service, you will have to register as a Member of SwissDAQ. Since unlisted securities are Non-Readily Realisable Investments, if you are a buyer you confirm that you have sufficient knowledge and experience and that your financial circumstances permit you to buy unlisted securities.

Please note that the value of your investments, and any income you receive, may fall and you may receive less than, or none of, the money you invested. Past performance is not necessarily a guide to future performance and should not be relied on. As unlisted securities are higher volatility investments these may be subject to large or sudden falls in value.

SwissDAQ is not a specialist tax adviser and you should seek specialist advice regarding your personal tax situation and the consequences any investment decisions may have upon your personal circumstances.
